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Please type a plus sign (+) inside this box  Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	00-11-1450
First Inventor	Kil Yong SUNG
Title	Child-Resistant Utility Lig
Express Mail Label No.	EL100937568US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS


- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: 09 / 507,100
Prior application information: Examiner <u>David Lee</u>			Group / Art Unit: 3743

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				or <input type="checkbox"/> Correspondence address below	
Name 23388					
Address PATENT TRADEMARK OFFICE					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	R. JOSEPH TROJAN	Registration No. (Attorney/Agent)	34,264
Signature			Date 11/17/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**355.00****Complete if Known**

Application Number	
Filing Date	
First Named Inventor	SUNG, Kil Yong
Examiner Name	
Group Art Unit	
Attorney Docket No.	00-11-1450

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	500703
Deposit Account Name	TROJAN LAW OFFICES

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)**355****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
2	-20** = 0	0	0
2	-3** = 0	0	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)(\$)**0**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 130	123 130	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**Name (Print/Type) **R. JOSEPH TROJAN**Registration No. **34,264**
(Attorney/Agent)**Complete (if applicable)**Telephone **(310) 777-8399**

Signature

Date

11/17/2000**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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TROJAN LAW OFFICES

Rexford Plaza

9250 Wilshire Boulevard

Suite 325

Beverly Hills, California 90212

R. Joseph Trojan

Joyce E. Crucillo

David Hong

Lee Fredric Sharra

Of Counsel

J. Nicholas Gross

Patent, Trademark, Copyright
Trade Secret & Related Causes

Telephone (310) 777-8399

Telecopier (310) 777-8348

trojanlaw@aol.com



November 17, 2000

Commissioner for Patents
Box PATENT APPLICATION - FEE
Washington, D.C. 20231

VIA U.S. EXPRESS MAIL (EL100937568US)

Re: Continuing U.S. Patent Application for "Child-Resistant Utility Lighter" based on Serial No. 09/507,100 (filed on 02/17/2000);
Inventor: SUNG, Kil Yong
Serial No.: Not assigned; Filing Date: Not assigned.
Attorney Docket No. 00-11-1450

Dear Sir/Madam:

Please find enclosed the following documents for a continuing application from U.S. Patent Application No. 09/507,100:

1. Preliminary Amendment and request for Interference (21 pages);
2. Copy of U.S. Patent Application No. 09/507,100, including Declaration for Inventor(s) and Drawings (26 pages + 1 page for Declaration + 1 page for Drawings);
3. Utility Patent Application Transmittal (1 page);
4. Fee Transmittal for FY2001 (1 page, 1 copy);
5. Certificate of Mailing by Express Mail (1 page);
6. A check for \$355 (1 page);
7. A Return Post Card (1 page);
8. A cover letter (1 page);

If the fees includes are not sufficient to obtain a filing date, please deduct the appropriate fee amount from our Deposit Account No. 500703.

Very truly yours,

TROJAN LAW OFFICES

by

Lee F. Sharra

Reg. No. 43,108

LFS:lfs

Enclosures

cc: Calico Brands, Inc.

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CERTIFICATE OF MAILING BY EXPRESS MAIL
PURSUANT TO 37 C.F.R. 1.10

JCS008 U.S. PTO
09/716573



I hereby certify that I have enclosed the following:

1. Preliminary Amendment and request for Interference (21 pages);
2. Copy of U.S. Patent Application No. 09/507,100, including Declaration for Inventor(s) and Drawings (26 pages + 1 page for Declaration + 1 page for Drawings);
3. Utility Patent Application Transmittal (1 page);
4. Fee Transmittal for FY2001 (1 page, 1 copy);
5. Certificate of Mailing by Express Mail (1 page);
6. A check for \$355 (1 page);
7. A Return Post Card (1 page);
8. A cover letter (1 page);

is being deposited with the U.S. Postal Service by me personally as Express Mail No. EL100937568US in an envelope addressed to:

Box PATENT APPLICATION - FEE
Commissioner for Patents
Washington, D.C. 20231

on November 17, 2000,

Continuing U.S. Patent Application for "Child-Resistant Utility Lighter" based on U.S. Patent Application Serial No. 09/507,100 (filed on 02/17/2000);


Inventor: SUNG, Kil Yong

Attorney Docket No. 00-11-1450

Serial No.: Not assigned; Filing Date: Not assigned.

TROJAN LAW OFFICES

by



Lee F. Sharra, Reg. No. 43,108

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